

# First-in-man intravenous implantation of stromal vascular fraction in psoriasis: a case study

Kristin Comella<sup>1</sup>  
Michelle Parlo<sup>2</sup>  
Rosemary Daly<sup>2</sup>  
Kellie Dominessy<sup>2</sup>

<sup>1</sup>US Stem Cell, Inc., Sunrise, FL, USA;  
<sup>2</sup>US Stem Cell Clinic, Sunrise, FL, USA



**Background:** Stromal vascular fraction (SVF) is a mixture of adipose-derived stem cells/mesenchymal stem cells, endothelial/progenitors, pericytes, fibroblasts, and other cells obtained from fat tissue. A small sample of fat or adipose tissue can be obtained under local anesthesia using a cannula. After an enzymatic digestion and centrifugation, the adipocytes (fat cells) are removed to obtain an SVF. Here, we describe the rationale and, to our knowledge, the first clinical implementation of SVF intravenously in a patient with severe psoriasis.

**Methods:** Adipose tissue (60 mL) was collected under local anesthesia via a mini-lipoaspirate procedure. The SVF was separated from the adipocytes via centrifugation after an enzymatic digestion. The cells were resuspended in normal saline and injected via bolus push intravenous. The subject was monitored over a period of 12 months for safety (adverse events), medication changes, and quality of life parameters.

**Results:** The patient did not report any safety concerns and did not experience any severe adverse events. The patient demonstrated a significant decrease in symptoms with a noticeable difference in skin quality appearance. Psoriasis area and severity index score went from 50.4 at baseline to 0.3 at 1 month follow-up.

**Conclusion:** Overall, the patient reported improved quality of life and willingness to continue treatments. This successful initial case study demonstrates that this may be a feasible treatment plan for patients suffering from psoriasis.

**Keywords:** adipose tissue, adipose-derived stromal/stem cells, autoimmune disease, cell therapy, mesenchymal stem cells, psoriasis, stem cells, stromal vascular fraction

## Background

Psoriasis is an autoimmune disease affecting about 2% of the population worldwide and approximately 7.5 million people in the United States.<sup>1</sup> Psoriasis patients present with erythematous scaly well-defined plaques, with the scalp, knees, elbows, hands, and feet being the most commonly affected areas.<sup>2</sup> Approximately 20% of psoriasis patients present with moderate to severe psoriasis with more than 5% of the body surface affected. Fifteen percent of patients with psoriasis will develop psoriatic arthritis, occasionally with joint complaints such as dactylitis, sacroiliitis, enthesopathy, and spondylitis, occurring before cutaneous psoriasis. This condition can cause joint destruction due to the chronic inflammation. There are also significant comorbidities associated with psoriasis.<sup>3</sup> There is a 58% risk of cardiovascular disease and 43% increased risk of stroke. The risk of diabetes is 30% higher in patients with severe psoriasis. Other conditions such as osteoporosis, uveitis, depression, and liver disease are

Correspondence: Kristin Comella  
US Stem Cell, Inc., 13794 NW 4th Street,  
Suite 212, Sunrise, FL 33325, USA  
Tel +1 954 835 1500  
Email [kcomella@us-stemcell.com](mailto:kcomella@us-stemcell.com)

also linked to psoriasis. All of these conditions lessen when psoriasis and the associated inflammation are addressed.

Multipotential adult stem cells or MSCs are currently being studied in a variety of diseases and injuries. MSCs can be found in many tissues throughout the body and are thought to play a very critical role in the healing cascade. When MSCs are placed into culture or *in vitro*, they demonstrate an ability to differentiate down several different tissue pathways. MSCs are part of the body's natural healing mechanism and as such represent a potential therapy in a variety of diseases.<sup>4,5</sup> The cells are thought to have immunomodulatory properties and can stimulate a healing response by reducing inflammation. For this reason, MSCs may be beneficial for patients experiencing excessive inflammation, as is present in autoimmune diseases like psoriasis. Stem cells may reduce the inflammatory response in psoriasis by affecting the epidermis and regulating T cells.<sup>6</sup>

According to the literature, MSCs have properties of angiogenesis and mitogenesis, leading to increased blood supply in areas of ischemic tissue.<sup>7</sup> The MSCs promote healing by creating a paracrine effect leading to a reduction in inflammation, apoptosis in cells, and fibrotic tissue.<sup>8</sup> Cells can secrete biological factors to communicate, which in turn regulates the tissue regeneration in the body. Pericytes are attached to the small vasculature throughout the body and are believed to be the precursor to the MSC. The pericyte is called upon after injury and converted via cell-to-cell communication into a medicinal cell. At this point, an immunomodulatory cascade of cytokines, molecules, and other proteins occurs to create a trophic environment leading to the healing of injury or tissue regeneration. For patients with autoimmune diseases, the body is in a chronic state of inflammation, and with MSC therapy, the proinflammatory cytokines such as IL-6, IL-17, and TNF- $\alpha$  may be inhibited.<sup>9</sup> According to Lee et al,<sup>9</sup> MSCs can reduce skin inflammation after systemic infusion into mice exposed to psoriasis-like skin inflammation. In addition to the reduction of the proinflammatory cytokines, chemokines such as CCL17, CCL20, and CCL27 were reduced in the mice skin.

One of the most plentiful sources of MSCs in the human body is adipose or fat tissue.<sup>10</sup> Fat can be easily isolated via mini-lipoaspiration in an outpatient facility using local anesthesia only. The MSCs that are present in the fat are called adipose-derived stem cells (ADSCs) and can differentiate into fat, bone, cartilage, and muscle *in vitro*. ADSCs contribute to the paracrine effect with the expression of many different cytokines and growth factors to promote tissue repair and reduce inflammation. In addition, ADSCs promote angiogen-

esis or the formation of new blood vessels in combination with the regulation of the local inflammation process.<sup>11,12</sup>

The stromal vascular fraction (SVF) is a mixture of cells including the MSCs with the adipocyte or fat cell population depleted or removed. It can be separated at the bedside of the patient in approximately 30–90 minutes and prepared for immediate injection. SVF expresses a wide range of cytokines and growth factors that are known to be part of the healing cascade. SVF contains a high percentage of cells that express the protein CD34, which is known to be present on hematopoietic stem cells, MSCs, and pericytes.<sup>13</sup> This cluster of differentiation is part of the periendothelial network and is thought to be integral to the angiogenesis process. The use of SVF has been reported in a variety of indications including osteoarthritis,<sup>14–16</sup> degenerative disc disease,<sup>17</sup> sclerosis,<sup>18</sup> tendinopathy,<sup>19</sup> congestive heart failure,<sup>20</sup> chronic obstructive pulmonary disease,<sup>21</sup> radiation necrosis,<sup>22</sup> xerostomia,<sup>23</sup> and multiple sclerosis.<sup>24</sup> In addition, two studies have been published demonstrating the safety of SVF for a variety of indications used in a clinical outpatient setting.<sup>25,26</sup> With a large number of MSCs and a low number of white blood cells, adipose tissue is a more ideal source for *in-clinic* cellular medicine as compared to bone marrow.<sup>27</sup> Adipose tissue also contains a large number of pericytes which are the precursor to MSCs with regenerative or healing properties.<sup>28,29</sup> Because fat collection is a well-established method, the SVF collection of cells and reintroduction into damaged tissue may represent a safe novel therapeutic approach. We report the first-in-man intravenous (IV) injection of SVF in a patient with psoriasis.

## Methods

### Study design

This first-in-man case study was completed on a 43 year old male with severe psoriasis. Written informed consent regarding the experimental nature of the protocol and publication of outcome and data was obtained from the patient. SVF was suspended in approximately 3 mL of normal saline prior to bolus IV injection through a 23 gauge butterfly needle. The patient was monitored for serious adverse events (SAEs) to include any fatal or life-threatening event that led to hospitalizations, or required major medical intervention, as well as adverse events. Weight, blood pressure, height, and pulse were collected as the physical parameters. The patient was evaluated for skin quality via the psoriasis area and severity index score, as well as quality of life parameters. Baseline blood work is shown in Table 1. The complete blood count was unremarkable, and repeat testing was not required. At

**Table 1** Baseline complete blood count

Test name	Result	Ref range	Units
WBC	9.1	3.5–10.1	bil/L
RBC	4.8	4.31–5.48	tril/L
Hemoglobin	15.0	13.5–17.0	g/dL
Hematocrit	45.8	40.1–50.1	%
MCV	95	80–100	fL
MCH	31	28–33	pg
MCHC	33	32–35	g/dL
RDW SD	43	37–47	fL
RDW CV	12	12–15	%
Platelet	183	150–400	bil/L

**Abbreviations:** RDW-CV, red cell distribution width coefficient of variation; RDW SD, red cell distribution width standard deviation; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; MCV, mean corpuscular volume; RBC, red blood cell; RDW, red cell distribution width; WBC, white blood cell.

the time of baseline treatment, the patient was not taking any prescription medications as all had previously failed to provide clinical improvements. Previous medications included biologics, topicals, immunosuppressants, steroids, and light therapy. The patient did not start any new medication or therapies during the follow-up period.

### Cell preparation and study intervention

Using a scalpel blade #11, a small incision was made after local anesthesia. A standard tumescent solution containing 500 mL normal saline, 25 mL of lidocaine (2%), 4 mL of sodium bicarbonate (8.4%), and 0.5 mL of epinephrine

(1 mg/mL) was administered into the subcutaneous space using an infiltration cannula. A Toomey syringe and a 3 mm Mercedes cannula was used to collect approximately 60 mL of fat tissue after infranate depletion. The SVF was isolated using a commercially available collection kit and enzyme (Adipocell™ Enzyme, US Stem Cell, Inc. Sunrise, FL, USA). The final SVF pellet was resuspended in 3 mL of normal saline for administration to the patient approximately 45 minutes later.

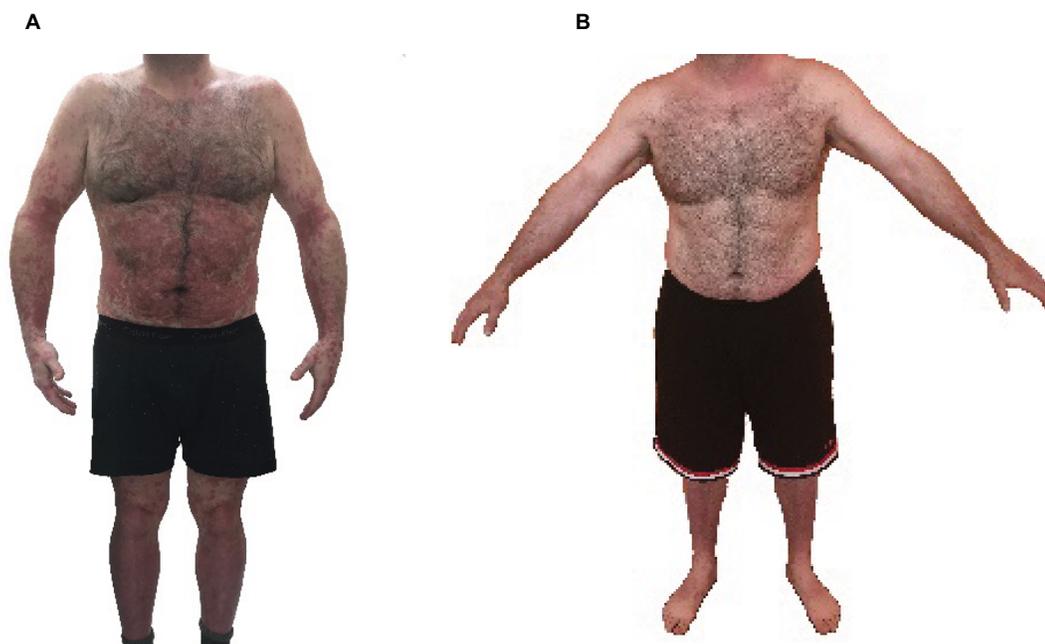
## Results

### Adipose and SVF collection

No safety incidences were reported during the mini-lipoaspirate procedure or the IV injection. After isolating the SVF and removing the adipocyte population, approximately 30–60 million nucleated cells remain. As reported in previous publications, the SVF population typically expresses greater than 50% CD34, with high viability (>90%). The cells also demonstrate the ability to differentiate down various pathways such as adipogenesis, osteogenesis, and chondrogenesis.<sup>14</sup>

### Efficacy outcomes

The patient reported a decrease in symptoms and improvement in quality of life over the 12-month follow-up period. Figure 1 shows an image at baseline and at 3 months post IV delivery of SVF. The numerous psoriasis plaques present on



**Figure 1** Picture of patient's skin at baseline (A) and 3 months after IV delivery of SVF (B).

**Notes:** Clear resolution of inflamed red skin at 3 months which was maintained during the 12-month follow-up period.

**Abbreviations:** IV, intravenous; SVF, stromal vascular fraction.

initial review were completely resolved 1 month post single IV injection and continued to be resolved at 12 month follow up. Psoriasis area and severity index score scores were 50.4 at baseline and 0.3 at 1 month. At the time of treatment, the patient was no longer using any medications as his symptoms had failed to improve over two decades. The patient did not start any additional therapies or medications during the follow-up period.

## Safety analysis

No SAEs were reported throughout the monitoring. The patient did not report any other events and was able to resume normal activities within days after the procedure.

## Discussion

Autoimmune diseases such as psoriasis are a result of overactive T cells, and current clinical options for therapy are limited. Promising preclinical results and a few clinical studies using cellular medicine have been reported in the literature. Outpatient in-clinic protocols using SVF may be a feasible therapy for these debilitating conditions. Additional studies with larger sample sizes could determine appropriate dosing (ie, number of cells) and the need for repeat therapy.

As stem cell therapy is a novel approach and the full mechanisms of action are not fully understood, it is unclear to what extent this therapy may facilitate tissue repair or reverse the effects of autoimmune diseases. Clinical benefits may be as a result of stem cell engraftment or due to a paracrine/immunomodulatory effect. However, patient improvements are most likely due to a complex cascade of events regulated by a mixture of growth factors and cytokines associated with the SVF population. This complex interaction may reduce inflammation and stimulate the formation of new, healthy tissue.

Patients with autoimmune diseases, and more specifically psoriasis, frequently experience comorbidities.<sup>30–32</sup> Psoriasis also increases the risk of depression and suicide.<sup>33</sup> Current treatment protocols include topical treatments which may only relieve symptoms temporarily. Systemic treatments (taken orally, or by injection or infusion) include acitretin, cyclosporine, and methotrexate.<sup>34</sup> The unique immunomodulatory properties of the MSCs make them a promising tool for this inflammatory disease. Psoriasis is an inflammatory response as a result of a complex interaction among the epidermis, dermis, immune system, blood vessels, metabolic system, neuroendocrine system, and hematopoietic system.<sup>35</sup> Injected cells may work to either prevent further damage to tissue or repair the damage created.<sup>36</sup> Stem cells may provide

an attractive approach to tissue regeneration for autoimmune conditions by promoting healing, reducing inflammation, and resetting the immune system via an immunomodulatory cascade of events.<sup>37</sup>

## Conclusion

This first-in-man case demonstrated the safety and feasibility of IV injection of SVF in a severe psoriasis patient. There were no major safety events, and the protocol was well tolerated. In addition, the patient demonstrated a significant decrease in psoriasis symptoms with noticeable improvement in skin quality. Because this case study suggested that SVF is safe and feasible, future studies are warranted to determine the long-term effects. Large clinical studies could establish the expected outcomes and efficacy of the therapy. Double-blind studies could address any theoretical safety concerns and to what extent the cells improve an overactive immune system.

## Declarations

### Ethics approval and consent to participate

The patient consented and agreed to participate in the study.

### Consent for publication

The patient consented and agreed to have their data published.

### Availability of data and materials

The datasets used during and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Acknowledgment

This study was partially funded by US Stem Cell, Inc.

## Author contributions

KC designed the protocol. MP, KD, and RD were responsible for clinical procedures and follow-up of patients. KC analyzed the data and wrote the manuscript. All authors contributed toward data analysis, drafting and critically revising the paper and agree to be accountable for all aspects of the work. All authors read and approved the final manuscript.

## Disclosure

KC is an officer of US Stem Cell, Inc. US Stem Cell is a publicly trading company providing physician-based stem cell therapies to human and animal patients as well as a

developer of autologous cell therapies. The authors report no other conflicts of interest in this work.

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